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# Constructal H-shaped cavities according to Bejan's theory

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#### Abstract

In this paper, we use Bejan's Constructal theory to optimize the geometry of a H-shaped cavity that intrudes into a solid conducting wall. The objective is to minimize the global thermal resistance between the solid and the cavity. Internal heat generation is distributed uniformly throughout the solid wall. The cavity surface is isothermal, while the solid wall has adiabatic conditions on the outer surface. The total volume and the volume of the H-shaped cavity are fixed, while the geometry of the H-shaped cavity is free to vary. Numerical results show that the optimal H-shaped configuration performs better than an optimal T-shaped cavity. The performance of the optimal H-shaped cavity is also superior to the performance of optimal rectangular and C-shaped cavities, which may be regarded as "elemental" configurations. Each of the optimized cavities, C-shaped, T-shaped and H-shaped, performs better when it penetrates the solid completely: this means that the geometrical complexity must evolve in order for the global flow system performance to improve. © 2006 Elsevier Ltd. All rights reserved.

Keywords: Constructal theory; Evolution of configuration; Geometry optimization; Morphing

# 1. Introduction

This paper documents numerically the fundamental relation between the maximization of global performance and the morphing architecture of a flow system. The morphing configuration is a H-shaped cavity that intrudes into a solid conducting wall. This work is an extension of the constructal method presented in [1,2], where we showed that the flow geometry is malleable, and it is deduced from a principle of global performance maximization subject to global constructal theory [3,4]), as the result of a "permanent struggle for better and better global system performance under global constraints".

The heat transfer literature has demonstrated how the principle of generating flow geometry works. Recent treatises on this subject [5,6] recount the evolution of cooling techniques for compact and miniaturized packages of elec-

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tronics. The objective of the design is to install in a given volume as much circuitry as possible, i.e. as much heat generation rate as possible. The basic global constraint is that the package must fit into a given volume. The highest temperature, i.e. the hot spot, must not exceed a specified value: this makes the highest allowable temperature an ulterior global constraint.

Constructal theory is a hierarchical (telescopic) way of thinking that accounts for organization, complexity and diversity in nature, engineering and management. In Ref. [7], for example, it has been extended to economics. The principle of cost minimization (maximum flow access) in the transport of goods between a point and an area has been investigated in order to anticipate the dendritic pattern of transport routes that cover the area, and the shapes and numbers of the interstitial areas of the dendrite. Ref. [8] documents the fundamentals of the methods of exergy analysis and entropy generation minimization and the generation of flow architecture. Designed porous media and other interdisciplinary applications of the Constructal theory are reported in Refs. [9–11].

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# Nomenclature

A	area, $A = HL$ , m <sup>2</sup>		
H	height, m		
$H_0$	thickness of the cavity tip, m		
$H_1$	thickness of the vertical intrusion of the con-		
	struct, m		
$H_2$	stem thickness of the cavity, m		
j	mesh index		
k	solid thermal conductivity, $W m^{-1} K^{-1}$		
L	length, m		
$L_0$	half- length of the cavity tip, m		
$L_1$	half- length of the vertical intrusion of the con-		
	struct, m		
$L_2$	stem length of the cavity, m		
$q^{\prime\prime\prime}$	heat generation rate per unit volume, $W m^{-3}$		
$\overline{T}$	temperature, K		
V	volume, m <sup>3</sup>		

In this paper, we consider the constructal design in its original engineering sense, by focusing on the optimization of the architecture of an open cavity formed by a H-shaped intrusion. Open cavities are the regions formed between adjacent fins and they may represent essential promoters of nucleate boiling: see, for example, the Vapotron effect [12–14] that occurs as a consequence of the thermal interaction between a non-isothermal finned surface and a fluid locally subjected to a transient change of phase. In this paper, we consider the morphing and optimization of the H-shaped cavity in the most fundamental sense, without application to a particular device or field.

According to constructal theory, in the pursuit of maximal global performance the cavity shape is free to change subject to volume constraints. The global performance indicator is the overall thermal resistance between the volume of the entire system (cavity and solid) and the surroundings. For simplicity and clarity, we consider two-dimensional bodies with variable geometric aspect ratios, the rectangular solid and the H-shaped intrusion. Finally, in order to draw a comparison, a T-shaped cavity and a rectangular cavity are also optimized and evaluated.

## 2. H-shaped construct: numerical formulation and results

Consider the two-dimensional H-shaped conducting body shown in Fig. 1. The external dimensions (H,L) vary. The third dimension, W, is perpendicular to the plane of the figure. The total volume occupied by this body is fixed,

$$V = HLW. \tag{1}$$

Alternatively, the area A = HL is fixed, because the configuration is two-dimensional. The dimensions of the cavity  $(H_0, L_0, H_1, L_1, H_2, L_2)$  also vary. The cavity volume is fixed,

 $V_0$  cavity volume, m<sup>3</sup>

W width, m

x,y cartesian coordinates, m

#### Greek symbol

 $\phi$  volume fraction occupied by the rectangular territory defined by the H-shaped structure

# Superscript

( $\sim$ ) dimensionless variables, Eqs. (5)–(7)

# Subscripts

- max maximum
- min minimum
- ref reference

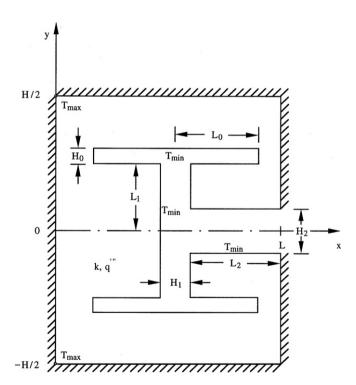


Fig. 1. Isothermal H-shaped intrusion into a two-dimensional conducting body with uniform heat generation.

$$V_0 = (4H_0L_0 + 2H_1L_1 + H_2L_2)W.$$
(2)

This second constraint may be replaced by the statement that the volume fraction occupied by the cavity is fixed,

$$\phi = \frac{V_0}{V} = \frac{4H_0L_0 + 2H_1L_1 + H_2L_2}{HL}.$$
(3)

The solid is isotropic with the constant thermal conductivity k. It generates heat uniformly at the volumetric rate q''' [W/m<sup>3</sup>]. The outer surfaces of the heat generating body are perfectly insulated. The generated heat current (q'''A) is removed by cooling the wall of the cavity. The cavity wall temperature is maintained at  $T_{\min}$ . Temperatures in the solid are higher than  $T_{\min}$ . The hot spot of temperature  $T_{\max}$  occurs at one or more points in the solid.

An important thermal design constraint is the requirement that temperatures must not exceed a certain level. This makes the hot spot temperature  $T_{\text{max}}$  a constraint. The location of  $T_{\text{max}}$  is not a constraint. The design calls for installing a maximum of heat generation rate in the fixed volume, which corresponds to packing the most electronics into a device of fixed size. In the present problem statement, this design objective is represented by the maximization of the global thermal conductance  $q'''A/(T_{\text{max}} - T_{\text{min}})$ , or by the minimization of the global thermal resistance  $(T_{\text{max}} - T_{\text{min}})/(q'''A)$ .

The numerical optimization of geometry consisted of simulating the temperature field in a large number of configurations, calculating the global thermal resistance for each configuration, and selecting the configuration with the smallest global resistance. Symmetry allowed us to perform calculations in only half of the domain,  $y \ge 0$ . The conduction equation for the solid region is

$$\frac{\partial^2 \widetilde{T}}{\partial \widetilde{x}^2} + \frac{\partial^2 \widetilde{T}}{\partial \widetilde{y}^2} + 1 = 0, \tag{4}$$

where the dimensionless variables are

$$\widetilde{T} = \frac{T - T_{\min}}{q''' A/k},\tag{5}$$

$$(\tilde{x}, \tilde{y}, \tilde{H}, \tilde{L}, \tilde{H}_0, \tilde{L}_0, \tilde{H}_1, \tilde{L}_1, \tilde{H}_2, \tilde{L}_2) = \frac{(x, y, H, L, H_0, L_0, H_1, L_1, H_2, L_2)}{A^{1/2}}.$$

The boundary conditions are indicated in Fig. 1. The maximal dimensionless excess temperature,  $\tilde{T}_{max}$ , is also the dimensionless global thermal resistance of the construct,

$$\widetilde{T}_{\max} = \frac{T_{\max} - T_{\min}}{q''' A/k}.$$
(7)

Eq. (4) was solved with a finite elements code based on triangular elements, developed in MATLAB environment and using the pde (partial-differential-equations) toolbox [15]. The domain is symmetric therefore, for the sake of simplicity, only half of the domain was used to perform the simulations. Fig. 2 shows the computational domain and the geometric details. The grid was non-uniform in both  $\tilde{x}$  and  $\tilde{y}$  directions, and varied for different geometries. The appropriate mesh size was determined by successive refinements, increasing the number of elements four times from one mesh size to the next, until the criterion  $|(\tilde{T}^{j}_{\max} - \tilde{T}^{j+1}_{\max})/\tilde{T}^{j}_{\max}| < 5 \times 10^{-3}$  is satisfied. Here  $\tilde{T}^{j}_{\max}$ represents the maximum temperature calculated using the current mesh size, and  $\widetilde{T}_{\max}^{j+1}$  corresponds to the maximum temperature using the next mesh, where the number of elements was increased by four times. Table 1 shows an example of how grid independence was achieved.

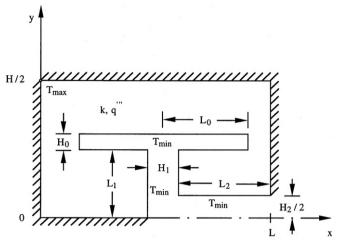


Fig. 2. Computational domain.

Table 1

Numerical tests showing the achievement of grid independence  $(H/L = 1, \phi = 0.1, H_2/L_2 = 0.15, L_1/L_2 = 0.6, L_0/L_2 = 0.6, H_1/L_2 = 0.75, H_0/H_2 = 1.0)$ 

Iteration	Elements	$\widetilde{T}_{\max}$	$ (\widetilde{T}_{\max}^{j} - \widetilde{T}_{\max}^{j+1})/\widetilde{T}_{\max}^{j} $
1	174	0.089752	$2.8  imes 10^{-2}$
2	696	0.092265	$1.11 \times 10^{-2}$
3	2784	0.093291	$4.4  imes 10^{-3}$
4	11,136	0.093699	-

Table 2

Comparison between the results obtained for an isothermal C-cavity in Ref. [1] and the present numerical work  $(H/L = 1, \phi = 0.3)$ 

$H_0/L_0$	Ref. [1]	This work	Relative error
1.875	0.1873	0.1873	0
1.2	0.1436	0.1435	$6.964 \times 10^{-4}$
0.8334	0.10865	0.1086	$4.602 \times 10^{-4}$
0.4686	0.06574	0.0657	$6.085 imes10^{-4}$

The accuracy of the numerical method was also tested by reproducing with very good agreement the results presented by Ref. [1] for the isothermal C-cavity, which is an "elemental" rectangular open intrusion into a two-dimensional heat generating body. Table 2 shows several examples of this comparison.

### 3. Optimization of geometry

Fig. 1 reports the H-shaped cavity formed by a 'stem' intrusion  $(L_2 \times H_2)$  that branches into two elemental vertical intrusions  $(L_1 \times H_1)$ , having at their tips two horizontal rectangles  $(2L_0 \times H_0)$ . We solved the conduction problem in many configurations. The maximum temperature occurs in the upper-left corner of the domain. The optimization of the entire H-shaped intrusion forms the subject of this section. After having fixed H/L = 1, the H-shaped structure has five degrees of freedom that are represented by the ratios  $L_0/L_2$ ,  $L_1/L_2$ ,  $H_0/H_2$ ,  $H_1/H_2$  and  $H_2/L_2$ . Conse-

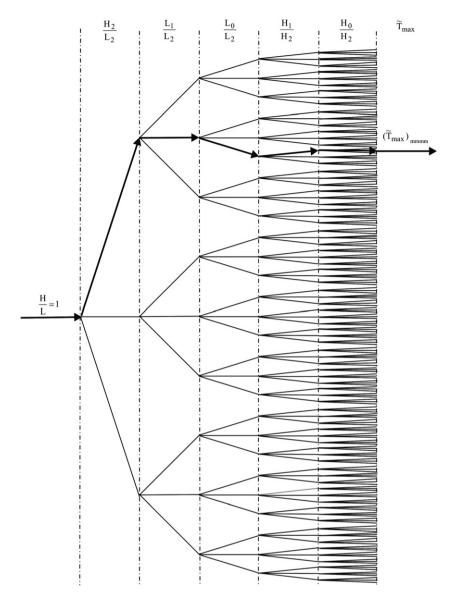


Fig. 3. Flow chart illustrating the optimization process.

quently, the optimization process has been divided into five steps as shown in Fig. 3.

In the first step, we optimized the geometry by varying the ratio  $H_0/H_2$  and keeping fixed the remaining four geometric parameters. Fig. 4 shows that the thermal resistance can be minimized by selecting a particular shape of the cavity, namely the one with  $H_0/H_2 = 0.16$ . The optimal shape of the cavity is shown.

The procedure shown in Fig. 4 was repeated by optimizing the global thermal resistance with respect the degree of freedom  $H_1/H_2$ . Fig. 5 shows the minimized global thermal resistance,  $(\tilde{T}_{\max})_m$ , and its corresponding optimal ratio  $(H_0/H_2)_o$ . The labels "*m*" and "*o*" mean that the H-cavity was optimized once, i.e., with respect to one degree of freedom. Fig. 5 also shows that there is a minimal value of  $(\tilde{T}_{\max})_m$ , called  $(\tilde{T}_{\max})_{mm}$ , and its corresponding optimized geometric parameters are called  $(H_0/H_2)_{oo}$  and  $(H_1/H_2)_o$ .

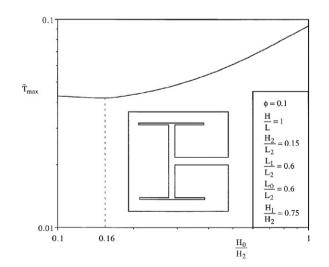


Fig. 4. First level of optimization: the minimization of the global thermal resistance as function of the ratio  $H_0/H_2$ .

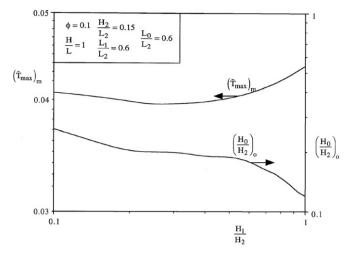


Fig. 5. Second level of optimization: the minimization of the global thermal resistance as function of the ratio  $H_1/H_2$ .

The next step in the optimizing process is to plot the optimized values obtained in simulations similar to the one performed in Fig. 5. Fig. 6 reports the optimal values for several values of the ratio  $L_0/L_2$ . The minimal global thermal resistance revealed in Fig. 6 is very pronounced and indicates that the ratio  $L_0/L_2$  should also be examined in the design of the H-shaped cavity. The optimal corresponding shapes are also shown in Fig. 6.

Fig. 7 continues the search for better performance by varying the next degree of freedom:  $L_1/L_2$ . This fourth optimization completes the optimization for the ratio  $H_2/L_2 = 0.15$ , which was fixed at the start of the sequence shown in Figs. 4–6. Fig. 7 shows the minimal global thermal resistance optimized three times (in three nested loops) and its corresponding optimal shape parameters. This figure reports that the optimal ratio  $(L_0/L_2)_0$  is approximately constant and very close to 1, indicating that this branch must occupy almost completely the length of the domain.

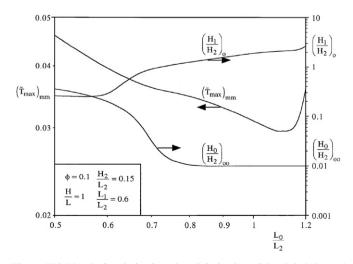


Fig. 6. Third level of optimization: the minimization of the global thermal resistance as function of the ratio  $L_0/L_2$ .

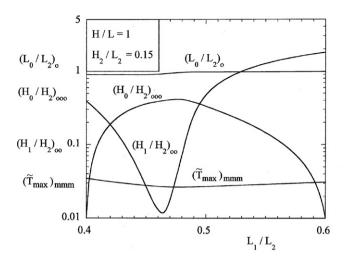


Fig. 7. Fourth level of optimization: the minimization of the global thermal resistance as function of the ratio  $L_1/L_2$ .

Fig. 8 presents the last step in the optimization process. This figure shows that the best structure is achieved when the ratio  $H_2/L_2$  becomes as small as possible. The minimal global thermal resistance  $(\tilde{T}_{\rm max})_{\rm mmmm}$  decreases, while the optimized ratios  $(L_1/L_2)_{\rm o}$  and  $(L_0/L_2)_{\rm oo}$  decrease and  $(H_1/H_2)_{\rm ooo}$  and  $(H_0/H_2)_{\rm oooo}$  increase. The results reported in Fig. 8 can be correlated with accuracy smaller than 4% by the expressions:

$$(\widetilde{T}_{\max})_{mmmm} = 0.0197 \left(\frac{H_2}{L_2}\right)^{-0.126} \left(\frac{L_0}{L_2}\right)_{oo}^{0.266} \left(\frac{L_1}{L_2}\right)_{o}^{0.097} \times \left(\frac{H_0}{H_2}\right)_{ooo}^{-0.126} \left(\frac{H_1}{H_2}\right)_{ooo}^{-0.00433},$$
(8)

$$\frac{H_2}{L_2} = 0.0484 \left(\frac{H_0}{H_2}\right)_{0000} \left(\frac{H_1}{H_2}\right)_{000}, \tag{9}$$

$$\left(\frac{L_0}{L_2}\right)_{\rm oo} = 1.44 \left(\frac{L_1}{L_2}\right)_{\rm o}^{0.529}.$$
 (10)

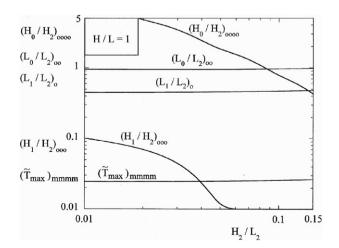


Fig. 8. Fifth level of optimization: the minimization of the global thermal resistance as function of the ratio  $H_2/L_2$ .

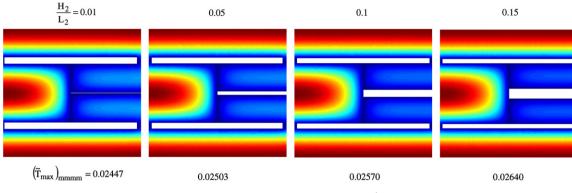


Fig. 9. The optimal configurations when H/L = 1.

Table 3 Comparison of the C-, T- and H-shaped cavities  $(H/L = 1, \phi = 0.1)$ 

	$(\widetilde{T}_{\max})_{\mathrm{opt}}$
C-shaped cavity	0.1008
T-shaped cavity	0.0710
H-shaped cavity	0.0245

In Fig. 9, we drew to scale the best H-cavities obtained by optimizing the global thermal resistance with respect to the five degrees of freedom.

According to Constructal theory the H-cavity shown in Fig. 1 can also be viewed as an example of a second construct, i.e. a construct resulted by the combination of two first constructs shaped as T cavities. Likewise, a T-cavity is an assembly of two elemental volumes, the C-cavities. Table 3 shows that the H-cavity, which is more complex, performs approximately four times better than the elemental cavity (C-shaped cavity). The H-shaped cavity is also almost three times more efficient than the T-shaped cavity under the same thermal conditions, uniform heat generation, volume fraction  $\phi = 0.1$ , and aspect ratio H/L = 1.

## 4. Conclusions

This work presented the optimization of a H-shaped cavity, which is a cavity formed by a stem intrusion  $(L_2 \times H_2)$  that branches into two elemental vertical intrusions  $(L_1 \times H_1)$ , each continued by two smaller intrusions  $(2L_0 \times H_0)$ . The global thermal resistance was minimized with respect to five degrees of freedom, while the total volume and the volume of the cavity were fixed. The geometry of the H-shaped cavity was free to vary. The results showed that the best architecture is achieved when the ratio  $H_2/L_2$  becomes as small as possible. The behavior of the optimized configuration and performance was correlated by Eqs. (8)–(10) with accuracy better than 4%.

We showed that the H-shaped cavity can be construed as a second construct, i.e. a construct resulted by the combination of two first constructs, which are T-shaped. We also showed that the T-cavity is an assembly of two elemental volumes, which are C-shaped. We found that the H-shaped cavity performs approximately four times better than the elemental C cavity. The H cavity is almost three times more efficient than the T cavity. This comparison was done under the same thermal conditions, uniform heat generation and volume fraction occupied by the cavity.

In sum, the optimized sequence of configurations (C,T,H) shows that the geometrical complexity must evolve in order for the flow system to improve its global performance.

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